

Electronic Acknowledgement Receipt

EFS ID:	4059671
Application Number:	09680365
International Application Number:	
Confirmation Number:	8236
Title of Invention:	SYSTEMS FOR SWITCH AUCTIONS UTILIZING RISK POSITION PORTFOLIOS OF A PLURALITY OF TRADERS
First Named Inventor/Applicant Name:	R. Raymond May
Customer Number:	00826
Filer:	Tyler Spence/Sarah Simmons
Filer Authorized By:	Tyler Spence
Attorney Docket Number:	38866/204611
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Application Type:	Utility under 35 USC 111(a)

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Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	NPL Documents	TriplePointTechnologyRealTime.PDF	1707954 baaf3e078f575b82ac29fa19829bba4f92e2a c6f8	no	8

Warnings:

Information:

2	NPL Documents	10K.PDF	3674191 718ad635b19bff34aa619b652cafb39e7def 3808	no	70
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Warnings:

Information:

3	NPL Documents	ELIriskManagementStructuredDerivatives.PDF	1402717 8b1c0644a3973331dfbb8262c504c02ae12f 7678	no	19
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Warnings:

Information:

Total Files Size (in bytes):	6784862
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.